# Semantic Information Extraction and Generation of Dynamic Knowledge Graphs

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#### Agenda

- Goals
- Knowledge Graphs
- Information Extraction
- NLP now and then
- Issues
- HooSIER Knowledge Graph Extractor
- Demo

#### Goals

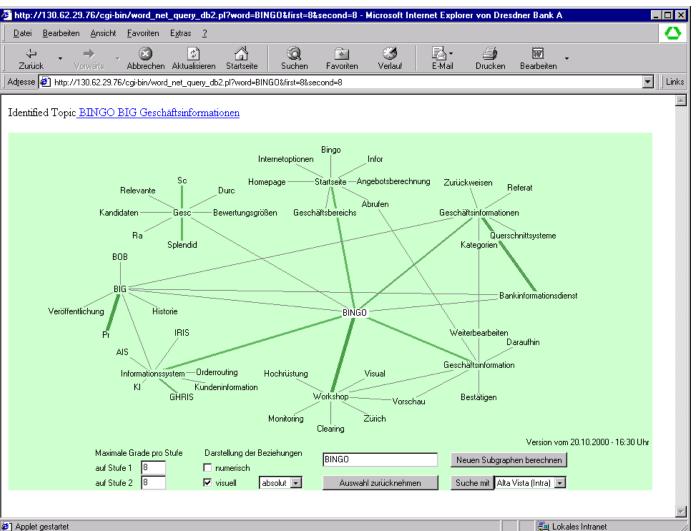
- Information Extraction:
  - Entities and Relations from text
    - Open domain and domain specific
  - Description of concepts, relations, detailed semantic properties using
    - Description Logic approach
    - Knowledge Graph approach
    - Linking and Typing of entities and relations
- Natural Language Processing:
  - Semantic and Pragmatic processing
    - Implicatures and Presuppositions
    - Reasoning and Common Sense
  - Linguistic Processing
- Scalable and High-Performance Big-Data NLP for Text 2 Data

#### Knowledge Graphs

- Assumption:
  - First mention of term in a Google Blog
    - Amid Singhal (2012), Introducing the Knowledge Graph: things, not strings <u>https://www.blog.google/products/search/introducing-knowledge-graph-things-not/</u>
- Reality:
  - Use of Graphical Knowledge Representation is older
    - Description Logic
    - RDF, OIL and DAML to OWL
    - Applications

## Knowledge Graphs back in 2000

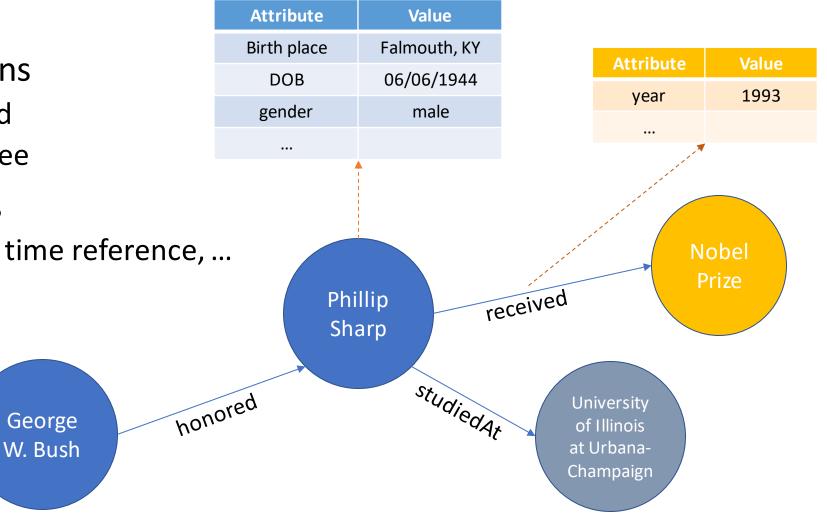
- RDB-based SemNet
  - Prior to OWL
  - OIL, DAML were around
  - No GraphDB
  - No NLP technologies (Stanford CoreNLP, OpenNLP, spaCy, Polyglot, GATE, etc.)



#### Knowledge Graphs

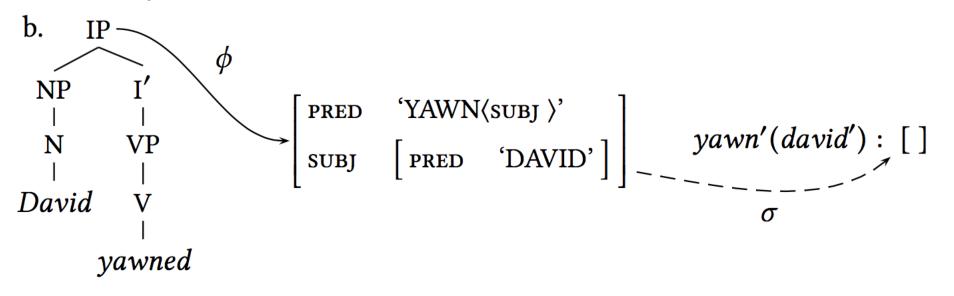
- Concepts and Relations
  - Mostly unconstrained
  - Domain specific or free
- Attributes and Values

• encoding properties, time reference, ...



#### **Formal Semantics**

- Meaning and Compositionality as Formal Mapping from Syntax to Semantic Representation
  - a. David yawned.



#### Knowledge Graphs

- No computation or interpretation of logic equations
- Direct mapping of knowledge from text
- Description of Knowledge
  - Directed Graph: encoding concept, events, domain specific knowledge...
  - Attribute-Value encoded features like size and shape, but also event time references (start, end, duration), etc.
- Reasoning
- Prediction
- Machine Learning of concepts and concept properties

#### State-of-the-Art

- Information Extraction
  - Open IE
  - Language Agnostic IE
    - Entity detection
    - Entity-Relation extraction
- Knowledge Graphs and Knowledge Representations
  - Ontology learning
  - Entity and Relation Linking

### OpenIE

- Unstructured natural language expressions to structured representations (Banko et al., 2007)
  - Structured representation:
    - Relational tuples of semantic relations: argument predicate argument
    - Relations are not a priori specified (not domain specific)
    - Extraction of all entities and relations
    - Domain agnostic entity and relation discovery
- Example:
  - Tim Cook, the CEO of Apple and a board member of Alphabet Inc., announced that he will no longer serve in any function for Apple Inc.

### OpenIE

- Underlying goal:
  - Tim Cook, the CEO of Apple and board member of Alphabet Inc. (...)
    - Tim Cook isA CEO of Apple
    - Tim Cook isA board member of Alphabet Inc.
    - Not in the last relation ignored completely!
- Reality:
  - Tim Cook CEO of Apple
    - No relation to Alphabet Inc.
  - He serve in function for Apple Inc.
    - No anaphora resolution
    - No processing of Negation

#### **OpenIE** Issues

- Underlying NLP ranks between "acceptable" and "of limited use at best."
- Entity recognition is broad
  - Coreference analysis not reliable
- Lack of Linking
  - Entities identified via Linking to concepts in Knowledge Graphs (e.g. YAGO, DBpedia)

- Back in 2000
  - Regular expressions and pattern matching
  - Template-based text generation
  - Finite State Dialog modeling
  - Knowledge Graphs (SemNets) on RDBs
  - Text2Speech
  - Part-of-speech tagging
  - Parsing
  - Machine Translation
- Rule-based systems, probabilistic models, knowledge-based NLP

- 2019: Focus on limited model types and technologies:
  - Data driven and usage based modeling, ignoring knowledge, rules, universals
  - Dependency Parse Trees from treebanks
  - Treebank-derived Constituent Tree Parsers
  - Label/Tag-based Semantic Role Labeling
  - ...
  - Pipeline-architecture as such:
    - Isolated modules with very limited NLP-focus chained in an input-output pipeline
      - CoreNLP, spaCy, OpenNLP, LingPipe, GATE, NLTK, UIMA, ...
  - No parallel architectures!

- State of the Art: (Sebastian Ruder's overview)
  - Part-of-Speech Tagging:
    - Use: word-level part of speech annotation with a limited set of tags that encode some morphosyntactic features
    - F1 score: 95% 97% based on WSJ portion of Penn Treebank, more than 100 treebanks for UD
    - Best performing: Deep Learning Approaches (alternatives not evaluated)

- State of the Art: (Sebastian Ruder's overview)
  - Constituent Tree Parsing:
    - Use: phrasal structure; relations, hierarchies and ambiguities between phrases; semantic scope relation; ...
    - F1 score: 92% 95% based on Penn Treebank
    - Best performing: Deep Learning Approaches (alternatives not evaluated)
  - Dependency Parsing:
    - Use: dependency relations between elements in the sentence; simplified annotation of functional relations: Subject, Object, Modifier, ...
    - F1 score on labels and relations: 91% 94% based on Stanford Dependency conversion of the Penn Treebank
    - Best performing: Deep Learning Approaches (alternatives not evaluated)

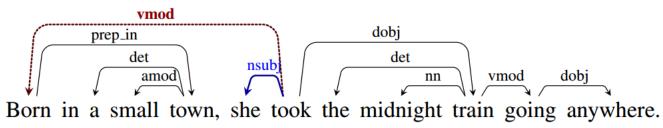
- State of the Art: (Sebastian Ruder's overview)
  - Named Entity Recognition:
    - Use: entity labeling person, institution, location, time, currency, ...
    - F1 score: 90% 92% based on Reuters RCV1 corpus with four NE-types (PER, LOC, ORG, MISC) using BIO notation
    - Best performing: Deep Learning Approaches (alternatives not evaluated)
  - Semantic Role Labeling:
    - Use: Label predicate argument structure (*Who gave what to who*): Predicate, Subject, Object, entity and relation extraction
    - F1 score: 81% 84% based on OntoNotes benchmark of the Penn Treebank
    - Best performing: Deep Learning Approaches (alternatives not evaluated)

- F1 score margins and error rates:
  - Basic token-level classification: error of approx. 4%
  - Word-level annotation, syntactic parsing: 10%
  - Semantic-level annotation: 30%
- What has changed since 2000?
  - Cross-linguistic Coverage
  - Speed
- Situation check:
  - Mono-culture of training/test-datasets for data driven ML/DL-methods
  - Limitation to weak linguistic models (e.g. *Constituent Trees, NE-classes, Semantic roles*), annotation standards (e.g. *Dependencies*)

- Situation check:
  - Limited use of NLP-pipelines: PoS-tagging, Lemmatization
    - CoreNLP: Constituent Parser; Dependency Parser; Coreference Analysis; ...
    - spaCy: Dependency Parser
    - NLTK: WordNet
  - Lack of APIs that interface to linguistic output data structures
    - NLP developers lack understanding of the linguistic annotations generated by pipelines or tools

#### NLP Example

• Stanford Open IE (paper and website)



- Lack of intuition of dependency relations
  - Modification of ROOT (took) by "born in a small town" is counterintuitive
- Lack of:
  - Clause level hierarchical relation analysis (subordinate clauses and scope)
  - Tempus, Mood, ... annotation
  - Pragmatic and semantic properties (and relevant linguistic features)

#### Issues

- Transparency
  - Lack of understanding of linguistic annotations
  - No abstraction layer and API
  - Blackbox models without introspection
    - Deep Learning
- Data-driven Systems
  - Knowledge driven engineering impossible
    - Lacking grammar engineering interface
  - Large data sets necessary
  - Monoculture of data sets
- Error rate in a pipeline

#### Issues

- NLP Technologies and Language Resources
  - More than 7,100 estimated languages
  - 300 estimated to be written
  - 1% is well resourced (data and technology wise)

#### • Language Resources

- Mono-culture
  - Limited data set or corpora as "standard"
  - Evolutionary model of technologies that are tuned to excel on the "standard"
- Half-life of resources
  - Corpora use value
- Annotation
  - Errors
  - Theoretically motivated

#### NLP Example

- Scope between clauses:
  - Reuters reported [ that [ Google bought Apple ] ]
  - Reuters did not report [ that [ Google bought Apple ] ]
  - Reuters did not deny [ that [ Google bought Apple ] ]
- Tense:
  - Tim Cook bought Google.
  - Tim Cook will buy Google one day.

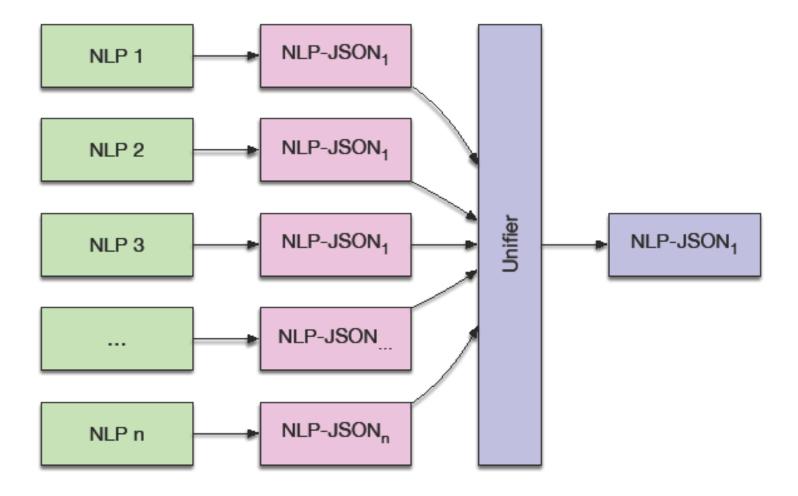
- Applied to real text:
  - Sentence length over 10 to 15 tokens breaks common probabilistic or NN parsers (Dependency parsers, in particular)
- Problematic domains, for example:
  - SEC, Financial, or Business Reports
  - Case-law and legal documents
  - Medical text (patient reports, documentations)
- Current free and open NLP-pipelines are of limited use.
- Are they of any use for serious NLP-based technologies?

#### State of the Art

- $\Delta$  between 2000 2018
  - ASR improvements
  - Knowledge Graphs, Ontologies
  - Integration
    - Data sources
    - Interfaces, multi-modal interaction
    - Device architecture
- Is there any significant progress in \_\_\_\_ ?
  - Dialog management
  - NLP at the utterance and discourse level
  - Semantics and Pragmatics

#### NLP Ensemble

• HooSIER



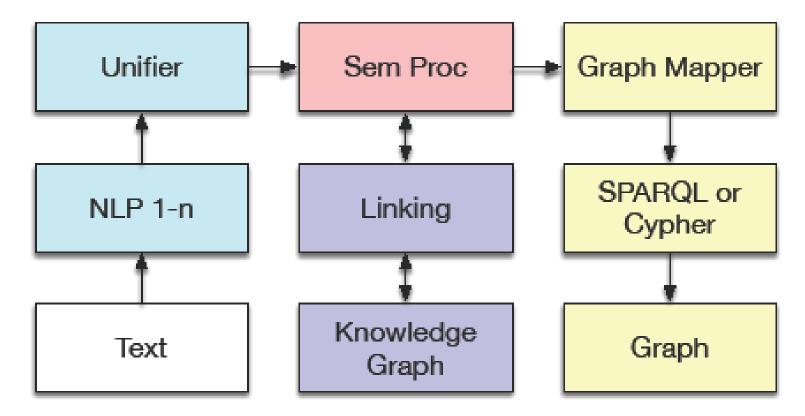
#### Knowledge Representations

- Practical use cases:
  - Dialogs
    - Topic and concepts in focus (conversational example)
  - Common Sense
    - Anaphora resolution using semantic properties
      - "Take the knife, cut the lime into two halves, and squeeze it." (p.c. Matthias Scheutz)

• ...

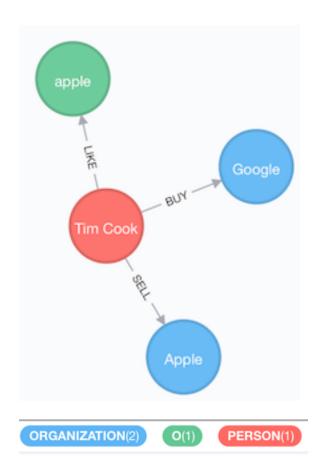
#### Pipeline

• Knowledge Graph Generation



### **Concept Relation Mapping**

- Input:
  - Tim Cook sold Apple.
  - He bought Google.
  - He likes apples.
- 1<sup>st</sup> level typing using:
  - Named Entity Recognition



## Linking

- Identification of the unique entity in a large Knowledge Graph
  - E.g. YAGO, DBpedia, ConceptNet, ...
- Our approach:
  - Disambiguation using word and graph embeddings
- Language Independent
  - Language agnostic entity extraction

## Typing

- Identification of the closest Hypernym
  - WordNet lookup
  - Microsoft Concept Graph
  - Using Linking results

#### Word and Graph Embeddings

- Distributional Semantics approach
  - Words are represented by vectors of a fixed length
  - Vectors are prediction models (e.g. Word2Vec):
    - Maximize the predicted likelihood of the words in their context
- Graph embeddings:
  - Semantic and conceptual: concepts and relations in graph context
  - Topological: shape of a conceptual sub-graph

#### Knowledge Representations

- General World Knowledge
  - From static to dynamic, with inferencing, reasoning
- Domain Specific Knowledge
  - Medical, Financial, Business, Legal, etc.
- Discourse specific Knowledge
  - Simple dialog memory (concepts and their linguistic features, relevant for anaphora resolution, coreference analysis)
  - Knowledge Graph or Ontology of semantic concept space in encapsulated discourse

#### Speech Acts, Implicatures, Presuppositions

- Deep Linguistic Processing:
  - A to B: "I bought the blue car."
  - Implicature:
    - A and B talked about the event earlier.
    - There is a set of cars, at least 2 that was in the range of A's action.
    - None of the other cars in the set is blue.
  - Linguistic indicators:
    - Definiteness via "the"
    - Specificity of the Noun Phrase

#### Speech Acts, Implicatures, Presuppositions

- Deep Linguistic Processing:
  - "Peter fed his cat."
  - Presupposition:
    - Peter owns a cat.
    - Peter owns cat food.
    - ...
  - Linguistic indicators:
    - Possessive
- Types:
  - Universal linguistic properties (see Grice Maxims, Relevance Theory)
  - Language specific properties (dependency to cultural and sociological aspects)
  - Domain specific: e.g. "to be like milk"

#### HooSIER IE Approach

- Advanced NLP technologies
  - Deep linguistic processing
    - Tense, Voice, Mood detection
    - Hierarchical relations of elements in the clause, clause detection, scope reconstruction
  - Identification of phrasal heads of arguments, compound structure, and modifiers
  - Normalization of words and phrases
  - Extraction of core semantic relations
  - Extraction of modifiers and meta-information
  - Mapping of relations into complex Graphs (towards Description Logic representations)
  - Linking of entities and relations to Knowledge Graphs and Ontologies

- Deep Linguistics
  - Tense, Voice, Mood detection
    - Tim Cook left Apple.
    - Tim Cook will leave Apple.
    - Apple was bought by Google.
  - Scope relations
    - Tim Cook did not leave Apple.
    - Tim Cook left, not Apple, but the board of Alphabet Inc.
  - Clause detection and scope
    - I wish [ Tim Cook left Apple ]
    - I did not claim [ that Tim Cook left Apple ]

- Identification of phrasal heads of arguments, compound structure, and modifiers
  - The former president of the United States, Barak Obama...
  - Head: Obama
  - Compound component: Barak
  - Modification or Specification: "the former president of the United States"
- Mapping into complex Graphs
  - Concepts or entities
  - Relations between entities
  - Attribute-value pairs associated with entities and relations

- Normalization of words and phrases
  - Lemmatization
    - chatting, went, hired  $\rightarrow$  chat, go, hire
  - Reduction to core properties (semantic normalization)
    - X was chatting with  $Y \rightarrow X talk Y$
  - Multi-lingual normalization:
    - Machine translation prior to extraction of entity-relation tuples
    - Linking of entities and relations to a language neutral representation
      - More later (using YAGO, MS Concept Graph, VerbNet, PropBank etc.)

- Extraction of core semantic relations
  - Predicate argument structures:
    - Tim Cook left Apple.
      - Predicate: leave
      - Argument 1 (subject, agent): Tim Cook
      - Argument 2 (object, patient or beneficiary): Apple
    - Tim Cook, who lives in San Francisco, left yesterday suddenly Apple without further explanation.
- Extraction of modifiers
  - Tim Cook livesIn SF
- Extraction of time references:
  - One day before document production time

- Entities and relations as Graphs
  - Entities
    - String representation
    - Label = type
    - All other information:
      - Attribute-Value tuples associated with entity
  - Relations
    - String representation
    - Label predicate type (e.g. PropBank ID)
    - All other information:
      - Attribute-Value tuples associated with entity
    - Relations have directionality, domain, and range
    - Domain and Range can be entities (and relations in some Graph DBs)

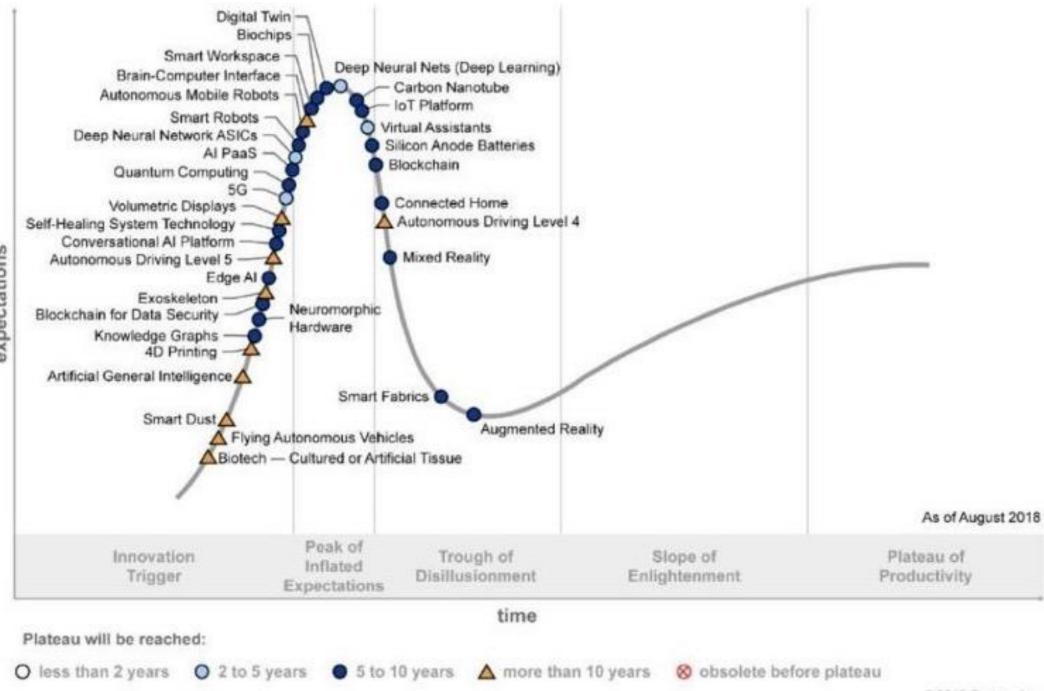
- Linking of entities and relations to Knowledge Graphs and Ontologies
  - Large Knowledge Graphs as Link targets
    - Language independent URI/specification
    - Detailed concept properties
    - Multi-lingual representations or realizations of concept names
    - Example: DBpedia, YAGO, MS Concept Graph, Google KG, etc.
  - Ontologies (domain specific models, taxonomies)
    - Core taxonomy relations: is A hierarchy essential for efficient reasoning
    - Semantic type and consistency checking with assertions into graphs
    - Reasoning
  - Identification of the most specific hypernym for any entity/concept
    - THING ... MAMMAL DOG POODLE
    - THING ... FRUIT APPLE
    - apple is A fruit
    - poodle isA dog

- Typing of entities:
  - NLP-based pre-typing
    - Named Entity Recognition (NER) types: PERSON, ORGANIZATION, PLACE, DATE, TIME, CURRENCY, TITLE, ... (5 to 7 core types of onomastic entities)
  - Knowledge Graph based typing
    - YAGO more than 17,000 types
  - Domain specific NER or Taxonomy-based typing
    - Our own model of types and potentially sub- or co-types
    - Develop own NER components
      - (Weighted) Finite State Transducers for (multi-) word analysis
      - Trained NER models using own corpora and data-sets

- Linking Disambiguation
  - Multiple types (hypernyms) for an entity in a given KG
  - NER types reduce the ambiguity
    - NLP components introduce error with NER
  - Use word embeddings and vector based models for disambiguation
    - Using Google, FastText, or GloVe vectors
    - Given vector for the target entity word (or multi-word expression) X
      - Tim Cook like apples.  $\rightarrow$  X = apples/apple
    - For every hypernym candidate (and its hypernym, synonyms, and hyponyms) Y compute the probability of the observed context
    - Pick the one hypernym (and its semantic context) that best predicts the context of X

- Expand Graph Representations (multiple graphs or linked sub-graphs)
  - Propositions represented as multiple entity-relation graphs
    - True propositions
    - Projected future related propositions
    - Assumed false propositions
  - Graph representation of Implicatures and Presuppositions
  - Entity identification and typing
    - Detailed semantic properties
    - Most specific type from isA taxonomy
    - Induction of types from Edge2Vec, predicate argument structures (e.g. VerbNet, PropBank), Graph similarity etc.
      - Syntagmatic vs. Paradigmatic relations

- Applications:
  - Event identification and extraction (types: political event, pandemic outbreaks, civil unrest, security related events, etc.)
    - Agents, locations, time, timeline, causalities, victims, etc.
  - Graph-similarity as document similarity
  - Summarization using graph-based text generation
  - Search and query
    - Graph-search, e.g. query to graph and similarity search, graph navigation
  - Ontology or Knowledge Graph generation
    - Forensic, investigative
    - Al or chatbot related



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# Technologies

#### • Environment

- Microservices using isolated RESTful modules
- Mainly Java, Scala, Apache Spark
  - Wrapping C(++), Python
- Databases
  - MongoDB, PostgreSQL hosting Knowledge Graphs (DBpedia, YAGO, MS Concept Graph)
- Neo4J (Cypher), Stardog (SPARQL & OWL)
- Docker Containers

### Thanks

- NLP-Lab students:
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